PTO/SB/21 (09-04)
Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

S:	Application Number	10/765,561
TRANSMITTAL FORM	Filing Date	1/26/04
FORM	First Named Inventor	Hong et al
	Art Unit	2661
(to be used for all correspondence after initial filing)	Examiner Name	Unassigned

Total Number of Pages in This Submis	sion	Attorney Docket	Number	034421-00	0179	<u>_</u> ノ
		OCUPES (shoots all 4)	ha4(-)			
	ENCL	OSURES (check all ti	nat appiy) T			
Fee Transmittal Form	Drawing(	(s)		_	rance Communication mmunication to Boar	1
Fee Attached	Licensing	g-related Papers		—	s and Interferences	
Amendment / Reply	Petition				mmunication to TC tice, Brief, Reply Brief)	)
After Final		o Convert to a nal Application		Proprietary	/ Information	
Affidavits/declaration(s)		Attorney, Revocation of Correspondence Ad	dress	Status Lett	ter	
Extension of Time Request	Terminal	Disclaimer	}	Other End		
Express Abandonment Request	1 = '	for Refund		Correctioin To	Receipt, Replacement Filing Receipt,	
☐ Information Disclosure	CD, Num	nber of CD(s)			oners To Be Made Or ration & Power of Atte	
Statement	☐ La	ndscape Table on CD		, 00.00.0		
Certified Copy of Priority Document(s) Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR1.52 or 1.53	Remark	S				
SIC	NATURE OF	APPLICANT, ATT	ORNEY, O	R AGENT		
Firm	THELEN REID	& PRIEST, LLP	_			
Signature	de					
Printed Name	Thierry K. Lo					
Date	12/2	8/2004	Reg. No.	4	19,097	
	CERTIFIC	ATE OF TRANSMIS	SION/MA	ILING		
I hereby certify that this corresponde Service with sufficient postage as Alexandria, VA 22313-1450 on trie da	first class mail	in an envelope addr	the USPTO	O or deposited Commissioner	with the United Stat for Patents, P.O. B	es Postal lox 1450,
Signature	ty de	duaug			1.1	
Typed or printed name Ruth Ro	odriquez	1/0		Date	1,87,881C	Μ.

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and

JAN 0 1 2005 E

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT:

Hong et al

**SERIAL NO.:** 

10/765,561

FILING DATE:

1/26/04

TITLE:

SYSTEM AND METHOD FOR DYNAMICALLY LOAD BALANCING

TRAFFIC IN A WIRELESS NETWORK

**EXAMINER:** 

Unassigned

ART UNIT:

2661

#### **Certificate of Mailing**

I hereby certify that this paper is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: MAIL STOP: Office of Initial Patent-Examination, P.O. Box 1450 Alexandria, VA 22313-1450 on the date printed below:

Date: December

2004

Signature:

Ruth Rodriquez

MAIL STOP: Office of Initial Patent Examination

**Commissioner for Patents** 

P.O. Box 1450

Alexandria, VA 22313-1450

#### REPLACEMENT TO FILING RECEIPT

Applicants respectfully submit that the filing receipt contains the following errors, and correction of same is requested:

It incorrectly identifies "David B. Ritchie, Registration No. 31,562; Steven J. Robbins, Registration No. 40,299; William Samuel Niece, Registration No.: 47,824; John Klaas Uilkema, Registration No. 20,282; Becky L. Troutman, Registration No. 36,703;" under the Power of Attorney. A power of attorney (attached) was originally submitted on "September 24, 2004". Additionally we submit herewith a document identifying "Patent Practitioners to be made of Record under 37 C.F.R. §1.32(c)(3)" to limit those identified to ten or fewer practitioners. Therefore, please amend the appropriate records to reflect the correct listing of attorneys for the above referenced patent application.

Docket No. 034421-000179

• The spelling of Applicant Leigh Michael Chinitz is incorrect. The correct spelling is

Leigh Michael Chinitz and not Liegh Michael Chinitz. Therefore, please amend the

appropriate records to reflect the correct applicant spelling for the above referenced

patent application.

Enclosed herewith in support of the above is the following:

• An annotated copy of the filing receipt indicating the above corrections;

• A true copy of the Declaration & Power of Attorney as filed on September 24,

2004.

The Commissioner is hereby authorized to charge any additional fees or credit any

overpayment to Deposit Account No. 50-1698.

Respectfully submitted,

THELEN REID & PRIEST LLP

Dated: December 28, 2004

Thierry K. Lo

Reg. No. 49,097

THELEN REID & PRIEST LLP

P.O. Box 640640

San Jose, CA 95164-0640

Telephone: (408) 292-5800

Fax: (408) 287-8040



INITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS P.O. Dox 1450 Alexandria, Vinginia 22313-1450 www.uspit.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY.DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/765,561	01/26/2004	2661	493	034421-000179	2	4	4

Robert E. Krebs Thelen Reid & Priest, LLP P.O. Box 640640 San Jose, CA 95164-0640 CONFIRMATION NO. 8138 UPDATED FILING RECEIPT \*OC00000014086662\*

\*OC000000014086662\*

Date Mailed: 10/14/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

(ppiiodiit(o)				
	Hilton Hong, San Jose, CA;		1	1
	Sherman Shih, Cupertino, CA;	, L	Milosol	alinita
•	Sherman Shih, Cupertino, CA; <u>Liegh-</u> Michael Chinitz, Wellesley, MA;	celan	MICRUCI	CMMINZ

**Assignment For Published Patent Application** 

Proxim Corporation, a Delaware Corporation

Power of Attorney: None Sec Patent Practitioners to be made of Record

Domestic Priority data as claimed by applicant

This appln claims benefit of 60/443,138 01/27/2003

Reviewed By: MP Date: 11/10/04

No Action Required: POA; Chinito name

Misspelled.

Foreign Applications

Annlicant(s)

If Required, Foreign Filing License Granted: 05/03/2004

The number of your priority application, to be used for filing abroad under the Paris Convention is, US10/765,561

Projected Publication Date: 01/20/2005

Non-Publication Request: No

CPI\_\_\_\_\_\_Date\_\_\_\_

**Early Publication Request: No** 

\*\* SMALL ENTITY \*\*

**Title** 

System and method for dynamically load balancing traffic in a wireless network

**Preliminary Class** 

370

# LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

#### **GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

#### **NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT:

Hong et al

**SERIAL NO.:** 

10/765,561

FILING DATE:

1/26/04

TITLE:

SYSTEM AND METHOD FOR DYNAMICALLY LOAD BALANCING

TRAFFIC IN A WIRELESS NETWORK

**EXAMINER:** 

Unassigned

ART UNIT:

2661

#### **Certificate of Mailing**

I hereby certify that this paper is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: MAIL STOP: Office of Initial Patent Examination, P.O. Box 1450 Alexandria, VA 22313-1450, on the date printed below:

Date: December 2004

Signature:

Ruth Rodriquez

MAIL STOP: Office of Initial Patent Examination

**Commissioner for Patents** 

P.O. Box 1450

Alexandria, VA 22313-1450

#### PATENT PRACTITIONERS TO BE MADE OF RECORD

Please recognize the following <u>ten</u> patent practitioners in the attached Declaration and Power of Attorney as being of record in the application or patent to which the power of attorney is directed:

Robert E. Krebs, Reg. No. 25,885 Marc S. Hanish, Reg. No. 42,626 Khaled Shami, Reg. No. 38,745 John P. Schaub, Reg. No. 42,125 William Winters, Reg. No. 42,232 Adrienne Yeung, Reg. No. 44,000 Thierry K. Lo, Reg. No. 49,097 Hal J. Bohner, Reg. No. 27,856 Yukiko Maekawa, Reg. No. 50,307 Masako Ando, (37 C.F.R.§10.9 (b)) The Commissioner is hereby authorized to charge any additional fees or credit any overpayment to Deposit Account No. 50-1698.

Respectfully submitted, THELEN REID & PRIEST LLP

Dated: December 28, 2004

Thierry K. Lo Reg. No. 49,097

THELEN REID & PRIEST LLP P.O. Box 640640 San Jose, CA 95164-0640 Telephone: (408) 292-5800

Fax: (408) 287-8040



### **DECLARATION & POWER OF ATTORNEY**

As a below-named inventor, I hereby declare that:

My correct city and state of residence, my post office address and my citizenship are stated below next to my name.

I believe myself to be the original, first and sole inventor (if only one name is listed below) or an original and first joint inventor (if more than one name is listed below) of the subject matter which is disclosed and claimed and for which a patent is sought on the invention entitled:

## "SYSTEM AND METHOD FOR DYNAMICALLY LOAD BALANCING TRAFFIC IN A WIRELESS NETWORK"

The specification	n of this subjec	ct matter:			
	is attached he	reto.			•
$\boxtimes$	was filed on Ja	anuary 26, 2004;			
	was assigned	serial No. 10/765,561;			
	which was am	ended on;			
application, incli do not believe th my invention thereo sale in the Unite has not been pa application in an representatives design patent ap  I acknow application in ac  I hereby patent or inventor	ading the claim at the claimed ereof, or patent of or more than ad States of Amatented or made or assigns more polication) prior wledge the duty cordance with a claim foreign ports certificate litificate having a	eve reviewed and understand the cons, as amended by any amendment(so invention was ever known or used in ed or described in any printed publication, that exication was ever known or used in ed or described in any printed publication, that exication was a prior to this application, that exication was the subject of an inventor's certification to the United States of America or the than twelve months (for a utility part to this application.  If to disclose information which is mat 37 C.F.R. §1.56(a).  Description benefits under 35 U.S.C. §119 is ted below and have also identified the filling date before that of the application.	i) referred to the United ation in any of the same was application it is sued being an application and application the element of the element	above. States of country vas not , and the fore the ion filed on) or s  xamina gn appl	I do not know and of America before before my in public use or on at the invention odate of this I by me or my legal six months (for a tion of this ication(s) for polication for patent y is claimed.
		<u>.</u>			Priority Claimed
Number	Country	Month/Day/Year Filed	Yes	No	
Number	Country	Month/Day/Year Filed	Yes	No	
Number	Country	Month/Day/Year Filed	Yes	No	<del></del>

#### PROVISIONAL PATENT APPLICATION(S)

I hereby claim the benefit under 35 U.S.C. §119(e) of any United States provisional application(s) listed below:

60/443,138

January 27, 2003

Application Number

Filing Date

#### PARENT PATENT APPLICATION(S)

I hereby claim the benefit under 35 U.S.C. §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in these prior United States application(s) in the manner provided by 35 U.S.C. §112, I acknowledge the duty to disclose material information as defined in 37 C.F.R. §1.56(a) which occurred between the filing date of the prior application(s) and the national or PCT international filing date of this application.

Application No.	Filing Date	Status (Issued, Pending, Abandoned		
Application No.	Filing Date	Status (Issued, Pending, Abandoned)		
Application No.	Filing Date	Status (Issued, Pending, Abandoned)		
Application No.	Filing Date	Status (Issued, Pending, Abandoned)		

I hereby appoint David B. Ritchie, Registration No. 31,562; Robert E. Krebs, Registration No. 25,885; Marc S. Hanish, Registration No. 42,626; John P. Schaub, Registration No. 42,125; Adrienne Yeung, Registration No. 44,000; Steven J. Robbins, Registration No. 40,299; Thierry K. Lo, Registration No. 49,097; William Samuel Niece, Registration No.: 47,824; Khaled Shami Registration No. 38,745; William E. Winters, Registration No. 42,232, Masako Ando, (37 C.F.R.§10.9 (b)); Yukiko Maekawa Registration No. 50,307 and John Klaas Uilkema, Registration No. 20,282; Becky L. Troutman, Registration No. 36,703; Hal J. Bohner, Registration No. 27,856; as attorneys of record with full power of substitution and revocation, to prosecute this application and transact all business in the United States Patent and Trademark Office connected therewith. If this application is assigned by me I agree and understand that the above-named attorneys will represent the assignee and not me.

Please send all correspondence and direct all telephone calls to:

Robert E. Krebs
Thelen Reid & Priest LLP
P.O. Box 640640
San Jose, CA 95164-0640
Telephone: (408) 292-5800
Facsimile: (408) 287-8040

I, the undersigned, declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing therefrom.

FULL NAME OF	FIRST N	lame	MIDDLE Initial(s)	LAST Name	•
INVENTOR 1	Hilton			Hong	
RESIDENCE AN CITIZENSHIP		City	State or Foreign Country	Country of Citi	zenship
		San Jose	California	United States	}
POST OFFICE ADDRESS	Number	and Street	City	State or Country	Zip Code
	1314 P	etal Way	San Jose	California	95129
FULL NAME OF INVENTOR 2	FIRST	lame	MIDDLE Initial(s)	LAST Name	
	Sherma			Shih Shih	<del></del>
RESIDENCE AN CITIZENSHIP	ID	City	State or Foreign Country	Country of Citi	zenship
		Cupertino	California	Canada	
POST OFFICE ADDRESS	Number	and Street	City	State or Country	Zip Code
	7457 D	e Foe Drive	Cupertino	California	95014
FULL NAME OF	FIRST	Name	MIDDLE initial(s)	LAST Name	
	Liegh		Michael	Chinitz	
RESIDENCE AN CITIZENSHIP	ID O	City	State or Foreign Country	Country of Citi	zenship
-		Wellesley	Massachusetts	USA	
POST OFFICE ADDRESS	Number	and Street	City	State or Country	Zip Code
	28 Bay	View Road	Wellesley	Massachusetts	02482
made upon infor knowledge that viscosition 1001 of	mation ar willful fals Title 18 o	nd belief are believed to be e statements and the like s	perein of my own knowledge true; and further that these so made are punishable by t and that such willful false st	statements were made v fine or imprisonment, or b	with the both, under
Hilts	nth		8/28/04		
Hilton Hong		8	Daté		
Sherman Shih			Date		
Leigh Michael C	hinitz		Date		

FULL NAME OF	FIRST Name	MIDDLE Initial(s)	LAST Name	
INVENTOR 1	Hilton	•	Hong	
RESIDENCE AN CITIZENSHIP	· · · · · · · · · · · · · · · · · · ·	State or Foreign Country	Country of Citiz	enship
	San Jose	California	United States	
POST OFFICE ADDRESS	Number and Street	City	State or Country	Zip Code
	1314 Petal Way	San Jose	California	95129
FULL NAME OF INVENTOR 2	_	MIDDLE Initial(s)	LAST Name	
SECIENCE AN	Sherman	Chata as Fassian Caustan	Shih	onobin .
RESIDENCE AN CITIZENSHIP	D City	State or Foreign Country	Country of Citiz	ensnip
,	Cupertino	California	Canada	
POST OFFICE ADDRESS	Number and Street	City	State or Country	Zip Code
	7457 De Foe Drive	Cupertino	California	95014
FULL NAME OF	FIRST Name	MIDDLE Initial(s)	LAST Name	
	Liegh	Michael	Chinitz	
RESIDENCE AN CITIZENSHIP	ID City	State or Foreign Country	Country of Citiz	enship
	Wellesley	Massachusetts	USA	
POST OFFICE ADDRESS	Number and Street	City	State or Country	Zip Code
	28 Bay View Road	Wellesley	Massachusetts	02482
made upon infor knowledge that v	r declare that all statements made h mation and belief are believed to be willful false statements and the like s Title 18 of the United States Code, n or any patent issuing thereon.	e true; and further that these so made are punishable by	statements were made w fine or imprisonment, or b	ith the oth, under
Hilton Hong		Date		
Sherman Shih	2. SE	Date 9/	20/2004	
Leigh Michael C	hinitz	Date		

FULL NAME OF	FIRST Name	MIDDLE Initial(s)	LAST Name	
INVENTOR 1	Hilton		Hong	
RESIDENCE AN		State or Foreign Country	Country of Ci	tizenship
	San Jose	California	United State	26
POST OFFICE ADDRESS	Number and Street	City	State or Country	Zip Code
	1314 Petal Way	San Jose	California	95129
FULL NAME OF INVENTOR 2	FIRST Name	MIDDLE Initial(s)	LAST Name	
	Sherman		Shih	
RESIDENCE AN CITIZENSHIP	D City	State or Foreign Country	Country of C	itizenship
	Cupertino	California	Canada	
POST OFFICE ADDRESS	Number and Street	City	State or Country	Zip Code
	7457 De Foe Drive	Cupertino	California	95014_
FULL NAME OF	FIRST Name	MIDDLE Initial(s)	LAST Name	
	Liegh	Michael	Chinitz	
RESIDENCE AN CITIZENSHIP		State or Foreign Country	Country of C	itizenship
	Wellesley	Massachusetts	USA	
POST OFFICE ADDRESS	Number and Street	City	State or Country	Zip Code
	28 Bay View Road	Wellesley	Massachusetts	02482
made upon information information in the knowledge that vibration 1001 of 1001 of 1001 in the knowledge that vibration in the	mation and belief are believed villful false statements and the	ade herein of my own knowledge to be true; and further that these like so made are punishable by code, and that such willful false st	statements were made fine or imprisonment, or	with the both, under
Hilton Hong		Date		
Sherman Shih		Date		
Leigh Michael Ch	M Chin	8/18/20 Date	×04	- <u>-</u>

## 37 C.F.R. §1.56 Duty to disclose information material to patentability

- (a) A patent by its very nature is affected with a public interest. The public interest is best served, and the most effective patent examination occurs when, at the time an application is being examined, the Office is aware of and evaluates the teachings of all information material to patentability. Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section. The duty to disclose information exists with respect to each pending claim until the claim is cancelled or withdrawn from consideration, or the application becomes abandoned. Information material to the patentability of a claim that is cancelled or withdrawn from consideration need not be submitted if the information is not material to the patentability of any claim remaining under consideration in the application. There is no duty to submit information which is not material to the patentability of any existing claim. The duty to disclose all information known to be material to patentability is deemed to be satisfied if all information known to be material to patentability of any claim issued in a patent was cited by the Office or submitted to the Office in the manner prescribed by §§1,97(b)-(d) and 1.98. However, no patent will be granted on an application in connection with which fraud on the Office was practiced or attempted or the duty of disclosure was violated through bad faith or intentional misconduct. The Office encourages applicants to carefully examine:
  - (1) Prior art cited in search reports of a foreign patent office in a counterpart application, and
- (2) The closest information over which individuals associated with the filing or prosecution of a patent application believe any pending claim patentably defines, to make sure that any material information contained therein is disclosed to the Office.
- (b) Under this section, information is material to patentability when it is not cumulative to information already of record or being made of record in the application, and
  - (1) It establishes, by itself or in combination with other information, a prima facie case of unpatentability of a claim; or
    - (2) It refutes, or is inconsistent with, a position the applicant takes in:
      - (i) Opposing an argument of unpatentability relied on by the Office, or
      - (ii) Asserting an argument of patentability.

A prima facie case of unpatentability is established when the information compels a conclusion that a claim is unpatentable under the preponderance of evidence, burden-of-proof standard, giving each term in the claim its broadest reasonable construction consistent with the specification, and before any consideration is given to evidence which may be submitted in an attempt to establish a contrary conclusion of patentability.

- (c) Individuals associated with the filing or prosecution of a patent application within the meaning of this section are:
  - (1) Each inventor named in the application;
  - (2) Each attorney or agent who prepares or prosecutes the application; and
- (3) Every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application.
- (d) Individuals other than the attorney, agent or inventor may comply with this section by disclosing information to the attorney, agent, or inventor.